

# Nonlinear models to describe height and diameter of sugarcane RB92579 variety<sup>1</sup>

## Modelos não lineares na descrição da estatura e diâmetro da cana-de-açúcar variedade RB92579

Sérgio Alberto Jane<sup>2</sup>, Felipe Augusto Fernandes<sup>3</sup>, Joel Augusto Muniz<sup>4\*</sup> and Tales Jesus Fernandes<sup>4</sup>

**ABSTRACT** - Brazil is the world's largest sugarcane producer (*Saccharum* spp.), followed by India and China. In 2019, Brazil produced 642.7 million tons of sugarcane, having collected 1.44 billion and 983.52 million dollars from sugar and ethanol exports, respectively. For crop management, it is important to monitor plant growth, duration of growth phases and point of physiological maturation. The aim of this study was to evaluate the fit of nonlinear Logistic, Gompertz, Brody and von Bertalanffy models to the height and diameter growth of ratoon cane stalk RB92579 variety, considering age in days. The parameters of models were estimated using R software routines, applying the least squares method and the iterative Gauss-Newton process. The adjustment of models was compared using the following evaluators: determination coefficient ( $R^2$ ), residual standard deviation (RSD) and corrected Akaike information criterion (AICc). In all models, parameters were significant by the t test at 1% level, except for the Brody model. When using the Shapiro-Wilk, Durbin-Watson and Breusch-Pagan tests, it was found that residues were normal, homoscedastic and independent at 1% significance level. All models fitted well to data, except for the Brody model for stalk height. Based on results, the Gompertz and Logistic models presented higher  $R^2$  values and lower RSD and AICc values; thus, it could be concluded that these models are suitable to describe the height and diameter of sugarcane RB92579 cultivar, respectively.

**Key words:** Growth curves. Logistic. Gompertz.

**RESUMO** - O Brasil é o maior produtor mundial de cana-de-açúcar (*Saccharum* spp.), seguido pela Índia e China. Em 2019 Brasil produziu 642,7 milhões de toneladas de cana, tendo arrecadado 1.44 bilhões e 983,52 milhões de dólares com exportação de açúcar e etanol, respectivamente. No manejo da cultura é importante monitorar o crescimento das plantas, a duração das fases de crescimento e o ponto de maturação fisiológica. O objetivo deste estudo foi avaliar o ajuste dos modelos não lineares Logístico, Gompertz, Brody e von Bertalanffy ao crescimento dos colmos da cana soca variedade RB92579, em estatura e diâmetro, considerando a idade em dias. Os parâmetros dos modelos foram estimados por meio de rotinas do software R, aplicando o método de mínimos quadrados e o processo iterativo de Gauss-Newton. O ajuste dos modelos foi comparado utilizando os avaliadores: coeficiente de determinação ( $R^2$ ), desvio padrão residual (DPR) e critério de Akaike corrigido (AICc). Em todos os modelos, os parâmetros foram significativos pelo teste t ao nível de 1%, exceto o modelo Brody. Ao realizar os testes Shapiro-Wilk, Durbin-Watson e Breusch-Pagan, verificou-se que os resíduos foram normais, homoscedásticos e independentes, ao nível de 1% de significância. Todos os modelos ajustaram-se bem aos dados, exceto o modelo Brody para estatura dos colmos. Com base nos resultados, os modelos Gompertz e Logístico apresentaram maiores valores de  $R^2$  e menores DPR e AICc, desta forma conclui-se que estes modelos são adequados para descrever a altura e o diâmetro da cultivar RB92579, respectivamente.

**Palavras-chave:** Curvas de crescimento. Logístico. Gompertz.

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\*Author for correspondence

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<sup>2</sup>Departamento de Produção e Proteção Vegetal, Faculdade de Ciências Agrárias, Universidade Lúrio, Niassa - Moçambique, serztjane@gmail.com (ORCID 0000-0001-9666-8117)

<sup>3</sup>Doutorando em Estatística e Experimentação Agropecuária, Departamento de Estatística/DES, Universidade Federal de Lavras/UFLA, Lavras-MG, Brasil, fernandesfelipe@gmail.com (ORCID 0000-0003-2552-3433)

<sup>4</sup>Departamento de Estatística/DES, Universidade Federal de Lavras/UFLA, Lavras-MG, Brasil, joamuniz@ufla.br (ORCID 0000-0002-1069-4136), tales.jfernandes@ufla.br (ORCID 0000-0002-1457-9653)

## INTRODUÇÃO

Sugarcane (*Saccharum*spp) is originally from New Guinea and produced in tropical and subtropical countries, and Brazil is the world's largest producer, followed by India and China (MIRAJKAR *et al.*, 2019). This crop plays an important role for Brazilian agriculture, as it is one of the largest crops, occupying 8.4 million hectares. It is the main source of sugar and ethanol, also producing *Cachaça* and electricity. In 2019, production amounted to 642.7 million tons (t), which accounted for US\$ 1.44 billion in sugar and US\$ 983.52 million in ethanol exports (COMPANHIA NACIONAL DE ABASTECIMENTO, 2020).

Sugarcane is a crop that has three growth stages, from bud germination to tillering, vegetative growth and maturation. Plant growth is positively or negatively affected by several factors, mainly water stress, temperature and light, which directly affect morphological variables, mainly stalk diameter and height. Therefore, due to these and other factors such as crop aging and low renewal rate, the estimates from the National Supply Company (2020) for the 2020/21 harvest indicate reduction of 1.9% and 10.3% in the production of sugarcane and ethanol, respectively.

To recover the levels of sugarcane production, producers must adopt good cultural management practices, and the study of sugarcane growth patterns helps identifying the duration of phenological phases, the inflection point where plants reach physiological maturation, and from which, the crop needs water stress and high temperatures to ensure greater sucrose accumulation (JANE *et al.*, 2020).

The study of the growth patterns of agricultural crops is usually conducted based on regression models that help identifying morphological differences of plants, to quantify production and to adapt management with phenological phases (FERNÁNDEZ-CHUAIREY *et al.*, 2019). In general, linear models are more widely used; however, nonlinear models have an advantage because they are more parsimonious, as they present better adjustments with fewer parameters, and may also present practical or biological interpretation (ARCHONTOULIS; MIGUEZ, 2015; FERNANDES *et al.*, 2015).

Several authors have studied the adjustment of nonlinear models in the growth of sugarcane plants (BATISTA *et al.*, 2013; COSTA *et al.*, 2011; JANE *et al.*, 2020; MAMET; GALWEY, 1999; MCMARTIN, 1979; OLIVEIRA *et al.*, 2010) mainly for stalk height. Nonlinear Logistic and Gompertz models are the most commonly found in studies on sugarcane growth; therefore, there is need for further studies mainly to compare various models

including other variables and decide which best describe crop growth, in addition to determining growth rates.

Thus, this work aims to evaluate the fit of nonlinear Logistic, Gompertz, Brody and von Bertalanffy models to describe the stalk height and diameter growth of sugarcane RB92579 variety.

## MATERIAL AND METHODS

Data used in the study refer to the average stalk height (ASH) and average stalk diameter (ASD) of ratoon cane (2<sup>nd</sup>cycle), RB92579 variety, both in centimeters, being extracted from Silva *et al.* (2012). The experiment was carried out in a commercial plot with dimensions of 30 x 30 m (900 m<sup>2</sup>) in the São Francisco River valley, municipality of Juazeiro, Bahia, during the 2007/2008 harvest.

Cultivation was carried out in soil belonging to the class of Vertisols, limestone, from dark olive to dark yellowish color, developed from the caatinga limestone, with CR horizon (soil / rock transition). Based on the soil type, 157.5 kg ha<sup>-1</sup> of commercial fertilizer based on organic nitrogen (N, 45%) and 0.5 L ha<sup>-1</sup> of foliar organomineral fertilizer (N, 3%; P<sub>2</sub>O<sub>5</sub>, 17%; Organic matter, 15%) were applied, and 1L ha<sup>-1</sup> of herbicides with active ingredients 2,4D Amine and glyphosate-isopropylammonium were applied for weed control.

Planting was carried out with spacing of 1.5 m between rows; plants were irrigated using the superficial system by grooves, in which water is conducted in windowed tubes. The frequency of application was determined based on the soil water content, monitored three times a week using a FDR (Frequency Domain Reflectometer) probe and the reference evapotranspiration values were estimated using the Penman Monteith method, parameterized in the FAO bulletin 56.

Twelve ASH measurements were made from the base to the +1 leaf (first leaf developed from top to bottom with visible ligula), and ASD in the third internode of tillers with the aid of measuring tape and caliper, respectively, in 15 tillers for each experimental plot subarea, from 70 to 381 days after cutting (DAC).

Nonlinear Logistic, Gompertz, Brody and von Bertalanffy models were adjusted for height and stalk diameter, as they are the most used in literature to describe the growth of living beings, especially in agriculture. According to Ribeiro *et al.* (2018a), these models are respectively represented by the following expressions:

$$Y_i = \frac{\alpha}{1 + e^{k(\beta - x_i)}} + \varepsilon_i \quad (1)$$

$$Y_i = \alpha e^{-e^{k(\beta-x_i)}} + \varepsilon_i \quad (2)$$

$$Y_i = \alpha e \left[ 1 - e^{k(\beta-x_i)} \right] + \varepsilon_i \quad (3)$$

$$Y_i = \alpha \left( 1 - \frac{e^{k(\beta-x_i)}}{3} \right)^3 + \varepsilon_i \quad (4)$$

where  $Y_i$  represents the observed EMC and DMC values in  $i = 1, \dots, 12$  observations as a function of the independent variable time (DAC), and  $x_i$  is the  $i$ -th measurement time of the response variable or independent variable. Models presented three parameters:  $\alpha$  representing the maximum horizontal asymptote, that is, maximum EMC and DMC to be reached by plants,  $k$  is the growth rate (the higher the  $k$ , the less time plants take to reach  $\alpha$ ) and  $\beta$  represents the abscissa of the inflection point, or a value associated with it depending on the model and parameterization, from which growth decelerates, while  $\varepsilon_i$  corresponds to the random error, which is assumed to be independent and identically distributed following a normal distribution with zero mean and constant variance, that is,  $\varepsilon \sim N(0, \sigma^2)$  (FERNANDES, 2017; MUIANGA *et al.*, 2016; MUNIZ; NASCIMENTO; PRADO *et al.*, 2020; SILVA *et al.*, 2020).

According to Ribeiro *et al.* (2018a), the four models are sigmoid; however, the Brody model has a different characteristic from the others, as it presents inflection point before the first observed value. In the Logistic model, the inflection point occurs halfway through the asymptote  $Y_i = \alpha/2 = 0.5\alpha$ , in the Gompertz model,  $Y_i = \alpha/\varepsilon$  where  $\varepsilon = 2.7182\dots$ , that is  $Y_i \approx 0.37\alpha$ , and in the von Bertalanffy model,  $Y_i = 8\alpha/27 \approx 0.30\alpha$ , indicating that the inflection point estimated by the von Bertalanffy, Gompertz and Logistics models occurs in 30%, 37% and 50% of the horizontal asymptote, respectively.

The estimation of model parameters was based on the least squares method, using the iterative Gauss-Newton process, as can be seen in the works by Bem *et al.* (2020), Carneiro *et al.* (2014), Prado *et al.* (2013), Ribeiro *et al.* (2018b), Savian and Muniz (2007) and Sousa *et al.* (2014). The significance of parameters was verified by the t test at level of 1%, testing the following hypothesis: estimates are equal to zero ( $\theta_i = 0$ ), that is, they do not contribute to the adjusted model. Residual analysis was carried out using the Shapiro-Wilk, Breusch-Pagan and Durbin-Watson tests, which are the most used to verify assumptions of normality, homogeneity of variances and independence of residues.

To select the model that best described ASH and ASD, three fit quality evaluators were used, determination coefficient ( $R^2$ ), residual standard deviation (RSD) and the corrected Akaike information criterion (AICc) given by the expressions presented below:

$$R^2 = 1 - \frac{SSR}{SST} \quad (5)$$

$$RSD = \sqrt{\frac{SSR}{n-p}} \quad (6)$$

$$AICc = n \ln \left( \frac{SSR}{n} \right) + \frac{2p(p+1)}{n-p-1} \quad (7)$$

where SSR is the sum of the squared residuals; SST is the total square sum;  $n$  is the number of observations and  $p$  is the number of parameters of the adjusted models. The best model is the one with the highest  $R^2$  value and the lowest RSD and AICc values.

All analyses were obtained using specific routines and packages (*nls*, *nlme*, *car*, *lmtest*, *qpcR*, *Rsq* and *AICcmodavg*) from the R software (R CORE TEAM, 2018).

## RESULT AND DISCUSSION

The estimates of parameters and respective 95% confidence intervals of Logistical, Gompertz, Brody and von Bertalanffy models adjusted for height and stalk diameter variables are shown in Table 1. All estimates were significant by the t test ( $p < 0.01$ ), except for the Brody model, which obtained non-significant  $\alpha$  and  $k$  parameters. Thus, the significant parameters contribute to the adjusted models, since their p-values indicate the rejection of the null hypothesis. The Logistic and Gompertz models presented lower amplitudes of confidence intervals of parameters for both variables (height and diameter), while the Brody model presented confidence interval not different from zero for  $\alpha$  and  $k$  parameters, indicating that this model is not suitable to describe the ASH growth curve.

Analyzing the horizontal asymptote  $\alpha$  in Table 1, it was observed that the Logistic model had the lowest stalk height value, 452 cm, with growth index of 0.0159 cm day<sup>-1</sup>, similar to those observed by Silva *et al.* (2012), followed by Gompertz and von Bertalanffy models, with averages of 523.04 cm and 592.90 cm. Estimates  $\alpha$  of the Logistic model are within the range observed by Capone *et al.* (2011), which is from 420.00 to 523.00 cm, when evaluating the growth of 15 ratoon cane varieties in the state of Tocantins, but different from Gompertz and Brody models.

For diameter, the estimated values of asymptote  $\alpha$  were very close in the four models. The lowest value was for the Logistic model, which is 2.64 cm, and the highest for the Brody model, which is 2.65 cm. These values are close to that observed by Oliveira *et al.* (2010), which is 2.70 cm, but differ from value observed by Oliveira, Braga and Walker (2015), about 2.18 cm, both evaluating the diameter of sugarcane RB92579 variety.

**Table 1** - Estimates of parameters and lower (Lw) and upper (Up) limits of confidence intervals for the fit of Logistic, Gompertz, Brody and von Bertalanffy models to data of average stalk height (ASH) and average stalk diameter (ASD) of ratoon cane RB92579 variety

models	parameters	ash			asd		
		lw	estimate	up	lw	estimate	up
Logistic	$\alpha$	388.6507	451.5660	514.5493	2.5536	2.6412	2.7289
	$\beta$	188.1326	214.7230	241.2674	53.3419	62.7734	72.2051
	k	0.0115	0.0159	0.0204	0.024	0.0480	0.0720
Gompertz	$\alpha$	410.8202	523.0367	635.2538	2.5492	2.6442	2.7392
	$\beta$	159.9404	192.4000	224.7876	42.3585	54.9614	67.5643
	k	0.0057	0.0086	0.0115	0.0260	0.0192	0.0634
Brody	$\alpha$	-6174.5740	2236.0000	10646.5700	2.5436	2.6477	2.7520
	$\beta$	29.1385	58.5300	87.9215	27.3713	45.1595	62.9478
	k	-0.0022	0.0006	0.0036	0.0148	0.0352	0.0557
von Bertalanffy	$\alpha$	402.4921	592.9000	783.3079	2.5475	2.6453	2.7432
	$\beta$	137.9347	180.8000	223.6653	37.8746	51.9387	66.0028
	k	0.0033	0.0060	0.0088	0.0177	0.0392	0.0608

Differences from results observed in the studies by Oliveira *et al.* (2010), and Oliveira, Braga and Walker (2015) are due to the fact that they evaluated the growth of ratoon cane in different growing seasons, second and third cycles, respectively. The growth of sugarcane stalks decreases with increasing production cycles, which can affect final productivity in each cut.

Table 2 shows the results of the residual analysis of models, indicating that the normality of residues, homogeneity of variances and independence of residues verified since the Shapiro-Wilk (SW), Breusch-Pagan (BP) and Durbin-Watson (DW) tests were not significant ( $p$ -value > 0.01), that is, the probability of not rejecting the null hypothesis of SW, BP and DW tests was greater than 1%. Thus, inferences to be made with the results of parameter estimates are valid. Similar results were

observed by Jane *et al.* (2020), who described the stalk height growth curves of sugarcane RB92579 variety using nonlinear Logistic and Gompertz models.

As all assumptions of the residual analysis were observed, the quality of the fit of models was evaluated. Based on  $R^2$ , RSD and AICc evaluators, the four models showed good adjustments for the average stalk height and diameter (Table 3). For height, the Gompertz model showed higher  $R^2$ , above 0.99, close to that observed by Batista *et al.* (2013), working with the same variety. The Gompertz model also showed lower RSD and AICc values, thus indicating that it is the model that best describes the height growth of sugarcane RB92579 variety. For diameter, the Logistic model was the one that obtained the best fit, with  $R^2$  of approximately 0.97 and lower RSD and AICc values.

**Table 2** - P-values of the statistics of Shapiro-Wilk (SW), Breusch-Pagan (BP) and Durbin-Watson (DW) tests for the analysis of residuals of the Logistic, Gompertz, Brody and von Bertalanffy models for the average stalk height (ASH) and average stalk diameter (ASD) of sugarcane RB92579 variety

variables	tests	models			
		logistic	gompertz	brody	von bertalanffy
ASH	SW	0.9127	0.2143	0.4454	0.2025
	BP	0.0742	0.6242	0.8195	0.9439
	DW	0.4440	0.9740	0.1000	0.7600
ASD	SW	0.3795	0.5951	0.7463	0.6409
	BP	0.5526	0.3599	0.2396	0.3122
	DW	0.5980	0.7020	0.8160	0.6740

**Table 3** - Fit quality evaluators ( $R^2$ , RSD and AICc) of the Logistic, Gompertz, Brody and von Bertalanffy models for the average stalk height (ASH) and average stalk diameter (ASD) of sugarcane RB92579 variety

Variables	Evaluators	Models			
		logistic	gompertz	brody	von bertalanffy
ASH	$R^2$	0.9917	0.9936	0.9873	0.9929
	RSD	14.1500	12.6700	17.8800	13.4700
	AICc	107.9079	105.2567	113.5202	106.7204
ASD	$R^2$	0.9659	0.9614	0.9557	0.9597
	RSD	0.0738	0.0781	0.0832	0.0798
	AICc	-18.248	-16.867	-15.358	-16.376

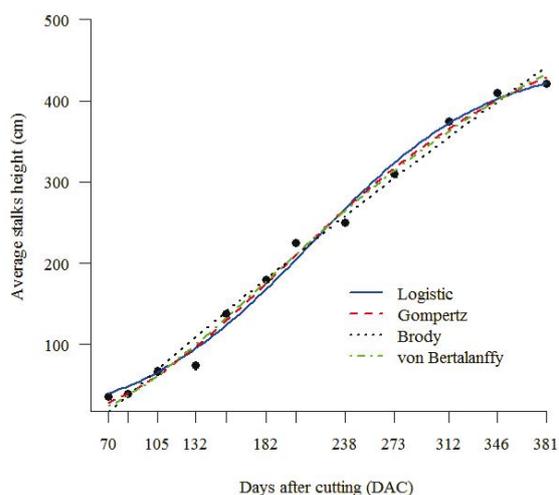
The results in Table 3 corroborate those observed by Jane *et al.* (2020), who obtained better fit of the Gompertz model when describing the stalk height growth of sugarcane RB92579 variety also in the ratoon cane cycle. Oliveira *et al.* (2010), obtained the best evaluators of the fit quality for the Logistic model, corroborating results of this study. Varieties that show less stalk height growth generally show greater stalk diameter growth in, leading the plant to reach the inflection point in shorter time. This fact justifies the superiority of the Gompertz model in relation to the Logistic model, since its inflection points occur to 37% and 50% of the upper horizontal asymptote.

The graphs of adjustments of Logistic, Gompertz, Brody and von Bertalanffy models for height (cm) and diameter (cm) are shown in Figures 1 and 2 respectively. Both for height and diameter, there is a sigmoid growth pattern, which is a growth characteristic in living beings (ARCHONTOULIS; MIGUEZ, 2015; FERNANDES *et al.*, 2014).

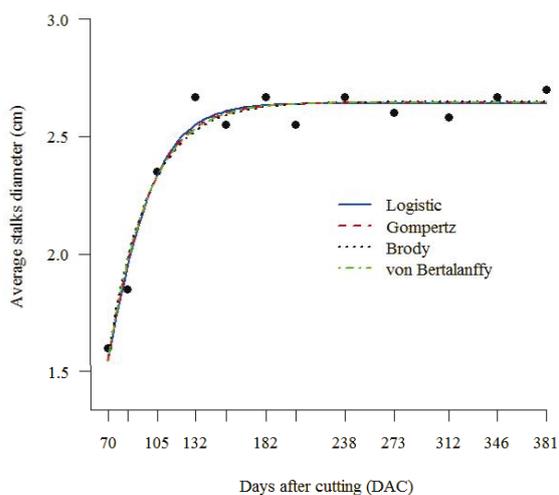
Based on Figures 1 and 2, it is noteworthy that all models adjusted well to stalk height and diameter data. Based on Figure 1, it was observed that for the average stalk height, the asymptote estimates of the Gompertz model were very close to observed values, followed by the Logistic model, while Brody model overestimated this parameter, with value above the normal growth of the crop.

The inflection point of the Gompertz model curve occurred 192 days after cut when stalks reached  $523.0367/2.7182 = 192.42$  cm. Mamet and Galwey (1999) studied the growth of 64 sugarcane varieties and obtained inflection points ranging from 183 and 213 days. It should be noted that, when it comes to ratoon cane, the inflection point must occur very quickly compared to plant-cane; ratoon cane uses nutrients and water after harvest. Thus, the estimate obtained by the Gompertz model for RB92579 variety is adequate, as it reaches the maximum accelerated growth at the end of the phase of

**Figure 1** - Adjustment of the Logistic, Gompertz, Brody and von Bertalanffy models for average stalk height of ratoon cane RB92579 variety



**Figure 2** - Adjustment of the Logistic, Gompertz, Brody and von Bertalanffy models for the average stalk diameter of ratoon cane RB92579 variety



greatest vegetative growth, allowing plants to have longer period of water stress, which is necessary to accumulate greater amount of sucrose.

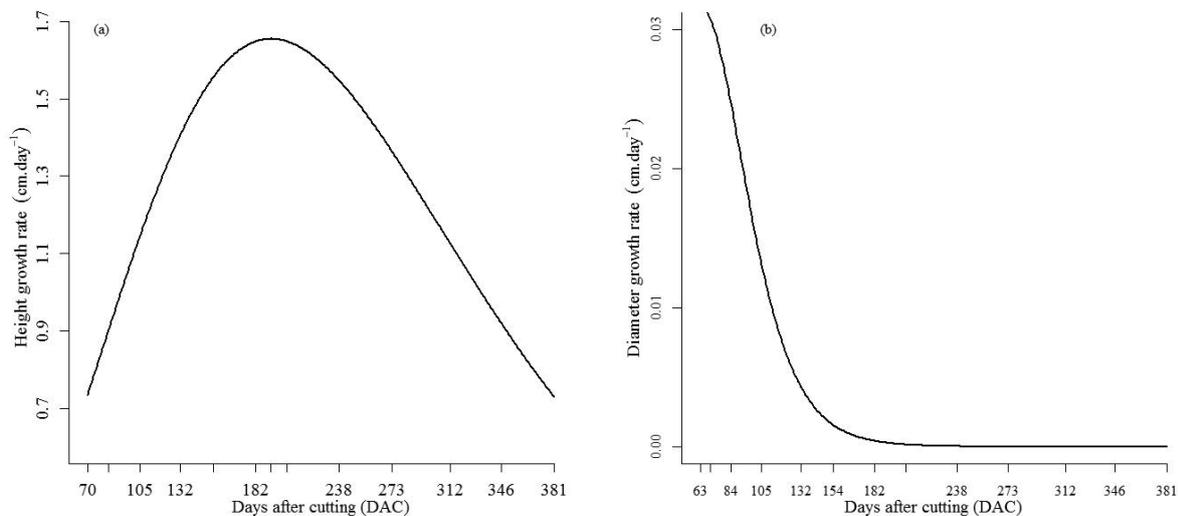
For diameter, Figure 2 shows that the average estimates of the asymptote in the Logistic model were close to observed values. Growth was accelerated until approximately 180 days, stabilizing from this point until the end of the crop cycle. Based on the Logistic model, the inflection point occurred at 63 days, with average stalk diameter estimated by  $a/2 = 2.6412/2 = 1.32$  cm. These results corroborate those obtained by Oliveira *et al.* (2010), who observed a rapid increase in stalk diameter with RB92579 variety in plant-cane up to 90 days, with average of 2.70 cm. The slight difference in the inflection points may have been caused by the fact that the plant-cane cycle is longer than that of ratoon cane.

The maximum growth rate values in nonlinear models coincide with the inflection point, and can be obtained by means of the order-1 partial derivative in

relation to the observation times. Regarding height, the RB92579 variety developed more rapidly up to 192 days, reaching  $1.6548 \text{ cm day}^{-1}$  and, from this day on, it presented a decrease in growth until it stabilized at the end of the evaluation at 381 DAC. In the diameter analysis, the accelerated growth occurred before the first observation at approximately 63 days, when it presented growth rate of  $0.0317 \text{ cm day}^{-1}$ , as shown in Figure 3.

These results corroborate those obtained by Santos *et al.* (2009), who observed the highest growth rate of sugarcane between 120 and 240 days, and with Costa *et al.* (2011), between 90 and 180 days, respectively. Based on the results above, it was observed that the stalk diameter reached the inflection point 1/3 of the height time, given that plants need to gain greater stability even in the bud germination and tillering phase to support the greatest height growth, and of leaves, which occurs in the next phase. Inflection points coincide with the maximum height and diameter growth rates.

**Figure 3** - Growth rate ( $\text{cm day}^{-1}$ ) of stalk height (a) and diameter (b) of Gompertz and Logistic models, respectively, for ratoon cane RB92579 variety



## CONCLUSIONS

1. All models adjusted well to the growth data of ratoon cane RB92579 variety, except for the Brody model, which obtained non-significant parameters in the height analysis. The Gompertz and Logistic models obtained the highest  $R^2$  values and the lowest RSD and AICc values, indicating that they are the

most suitable to describe stalk height and diameter, respectively;

2. Based on the Gompertz model, height reached maximum growth rate at 192 DAC, growing  $1.6548 \text{ cm day}^{-1}$ , and its growth stabilized after 380 DAC, while using the Logistic model, the diameter reached the inflection point at 63 DAC with growth rate of  $0.0317 \text{ cm day}^{-1}$ , stabilizing at 180 DAC.

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